

Please type a plus sign in this box:



PTO/SB/01 (3-97)

Approved for use through 6/30/98. OMB 0651-0032  
Patent and Trademark Office; US DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION</b>		<b>Attorney Docket No.</b> NEL-001	
<input type="checkbox"/> Declaration submitted with or initial filing		<b>First Named Inventor</b> Leslie P. NAGATA	
<input type="checkbox"/> Declaration submitted after initial filing		<b>COMPLETE IF KNOWN</b>	
		<b>Application No.</b> 	
		<b>Filing Date</b> 	
		<b>Group Art Unit</b> 	
		<b>Examiner Name</b> 	

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (only if one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**NOVEL DNA-BASED VACCINE AGAINST THE ENCEPHALITIS  
ALPHAVIRUSES**

(Title of the Invention)

the specification of which

☒ is attached hereto

or

☐ was filed on \_\_\_\_\_, as United States Application Number \_\_\_\_\_

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code § 119 (a)-(d) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YY)	Priority Not claimed	Certified Copy Attached	
				YES	NO
				<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>
				<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.

Application Number (s)	Filing Date (MM/DD/YY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.
60/256,948	12/21/00	

100236491001

Please type a plus sign in this box:



PTO/SB/01 (3-97)

Approved for use through 6/30/98. OMB 0651-0032

Patent and Trademark Office; US DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**DECLARATION - Utility Or Design Patent Application**

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the matter provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: ☒ Customer Number 23353

Or

☒ Registered practitioner(s) name/registration number listed below

Place Customer  
Number Bar Code  
Label Here

Name	Registration No.	Name	Registration No.
John E. McGarry	22,360	Joel E. Bair	33,356
H. Lawrence Smith	24,900	Richard D. Grauer	22,388
Ralph T. Rader	28,772	Michael D. Fishman	31,951
Joseph V. Coppola, Sr.	33,373	Mark A. Davis	37,118
Michael B. Stewart	36,018	Kristin L. Murphy	41,212
Alexander D. Rabinovich	37,425	Robert S. Green	41,800
Kevin D. Rutherford	40,412	David K. Benson	42,314
Glenn E. Forbis	40,610	Brian K. Dutton	47,255
Ronald P. Kananen	24,104	Eugene G. Byrd	47,361
Matthew J. Russo	41,282		
G. Thomas Williams	42,228		

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to ☒ Customer Number 23353 or ☐ Correspondence Address below  
or Bar Code Label

Name	RADER, FISHMAN, GRAUER PLLC		
	1233 20 <sup>th</sup> Street, N.W., Suite 501		
City, State, Zip	Washington, D.C. 20036		
Country	US	Telephone	202-955-3750
		Fax	202-955-3751

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor ☐ A petition has been filed for this unsigned inventor.

Given Name (first and middle [if any])	Family Name or Surname
Leslie P.	NAGATA

Inventor's Signature	<i>Lee Nagata</i>	Dated	19 Dec. 2001
----------------------	-------------------	-------	--------------

Residence: City	Medicine Hat	State	Alberta	Country	Canada	Citizenship	
Post Office Address	2 Smithson Street SE						
City	Medicine Hat	State	Alberta	Zip	T1B 3G6		Canada

☒ Additional inventors are being named on the 1 supplemental additional inventor(s) sheet(s) PTO/SB/02A attached hereto.

Total Sheet 13349

Please type a plus sign in this box.



PTO/SB/02A (3-97)

Approved for use through 6/30/98. OMB 0651-0032

Patent and Trademark Office; US DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>Name of Inventor</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle [if any])				Family Name or Surname			
Jonathon P.				WONG			
Inventor's Signature						Dated	
						19 Dec 01	
Residence: City	Medicine Hat	State	Alberta	Country	Canada	Citizenship	
Post Office Address		31 Turner Place SE					
City	Medicine Hat	State	Alberta	Zip	T1B 4G6	Country	Canada
<b>Name of Inventor</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle [if any])				Family Name or Surname			
Inventor's Signature						Dated	
Residence: City		State		Country		Citizenship	
Post Office Address							
City		State		Zip		Country	
<b>Name of Inventor</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle [if any])				Family Name or Surname			
Inventor's Signature						Dated	
Residence: City		State		Country		Citizenship	
Post Office Address							
City		State		Zip		Country	

10023549-122101